



## K99 /ROO Eligibility Inquiry

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The Pathway to Independence (K99/R00) program targets individuals in a mentored postdoctoral training position who have no more than 4 years of postdoctoral research experience and who have made a commitment to an academic career. The mechanism provides 2 years of support at the postdoctoral level followed by 3 years of support for independent research contingent upon the acquisition of an independent faculty position. The appropriate candidate will still need a 1-2 year training period before starting a faculty position and establishing their independent research program. To be eligible for the K99/R00 through the NIBIB, the candidate should meet the above description and propose research and training in one or more of the [Scientific Program Areas](#) supported by the NIBIB.

Please fill in the information below and forward to [gatlincl@nih.gov](mailto:gatlincl@nih.gov), attaching your **CV** with all publications to date including those accepted for publication and already submitted and attach a 1-page description of your **Specific Aims**, so that your eligibility can be determined.

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**Name:**

**Date:**

**Institution:**

**Dept.**

**Position (Postdoc, Assistant Professor, Research Associate, etc.):**

**Brief description of background and skills of the candidate (Please limit to 800 characters):**

**Brief description of skills and experiences sought with the K99 phase (Please limit to 800 characters)**



Proposed mentor(s): [Please fill out a row in the table below for each proposed mentor.]

| Mentor | Institution | Expertise |
|--------|-------------|-----------|
|        |             |           |
|        |             |           |
|        |             |           |
|        |             |           |
|        |             |           |

If you are not a US citizen or permanent resident of the US, describe your visa status and indicate expiration date. (Please limit to 400 characters)

Answer the following questions only if your current position is not postdoc. Check the appropriate column as it applies to your situation:

TRUE FALSE

My research is entirely funded by another investigator's grants.

My research is conducted entirely in another investigator's assigned space.

According to institutional policy, I cannot hire postdoctoral fellows or technical staff or be the responsible supervisor of graduate students.

According to institutional policy, I am not allowed to submit an application as the PD/PI of an NIH research grant application (e.g., ROI).

I lack other rights and privileges of faculty, such as attendance at faculty meetings.

I have a full-time faculty position.

I received a start-up package for support of my independent research.

I have research space dedicated to my own research.

I may attend faculty meetings, be the responsible supervisor for graduate students, and/or hire technical support or postdoctoral fellows.

I am eligible to apply for independent research funding as the PD/PI of an NIH research grant.



**Are you planning on conducting human subjects research (HSR)? Please review this page to determine whether your project meets the definition of HSR:**

<https://grants.nih.gov/policy/humansubjects/research.htm>

**If your application includes Human Subjects Research, please review this page to determine if your project would meet the definition of a clinical trial (CT):**

<https://grants.nih.gov/policy/clinical-trials/definition.htm>

**Please answer the following questions:**

- |     |    |  |
|-----|----|--|
| YES | NO | <b>Does the study involve human participants?</b>  |
| YES | NO | <b>Are the participants prospectively assigned to an intervention?</b>                         |
| YES | NO | <b>Is the study designed to evaluate the effect of the intervention on the participants?</b>   |
| YES | NO | <b>Is the effect that will be evaluated a health-related biomedical or behavioral outcome?</b> |

**Note: if the answers to all 4 questions are yes, your study/project meets the NIH definition of clinical trial, and you should submit your application to the CT version of the funding opportunity. *Please be aware that misclassified CT applications may be withdrawn.***