Natural Language Processing in the Clinical Setting

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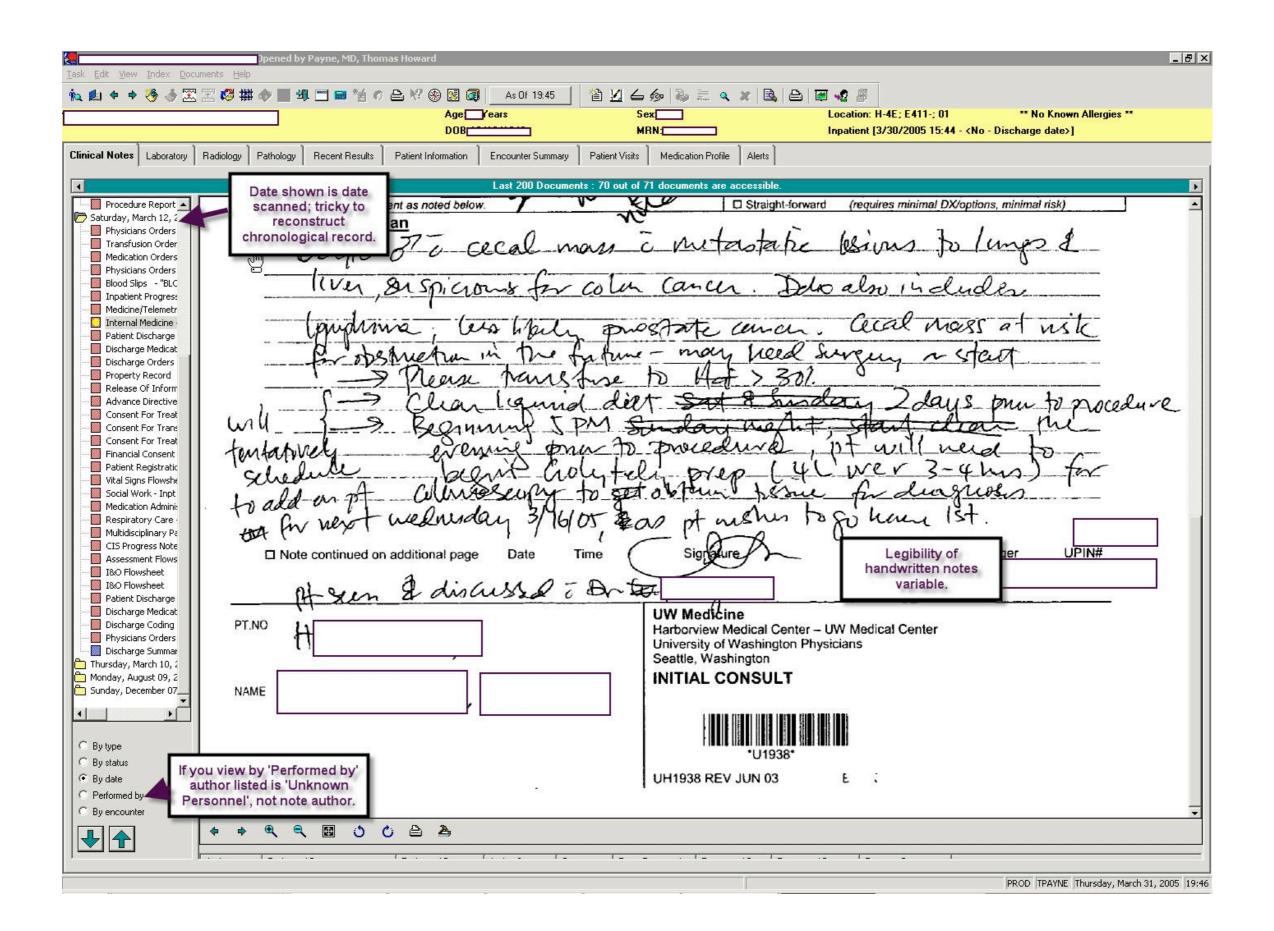
Topics today

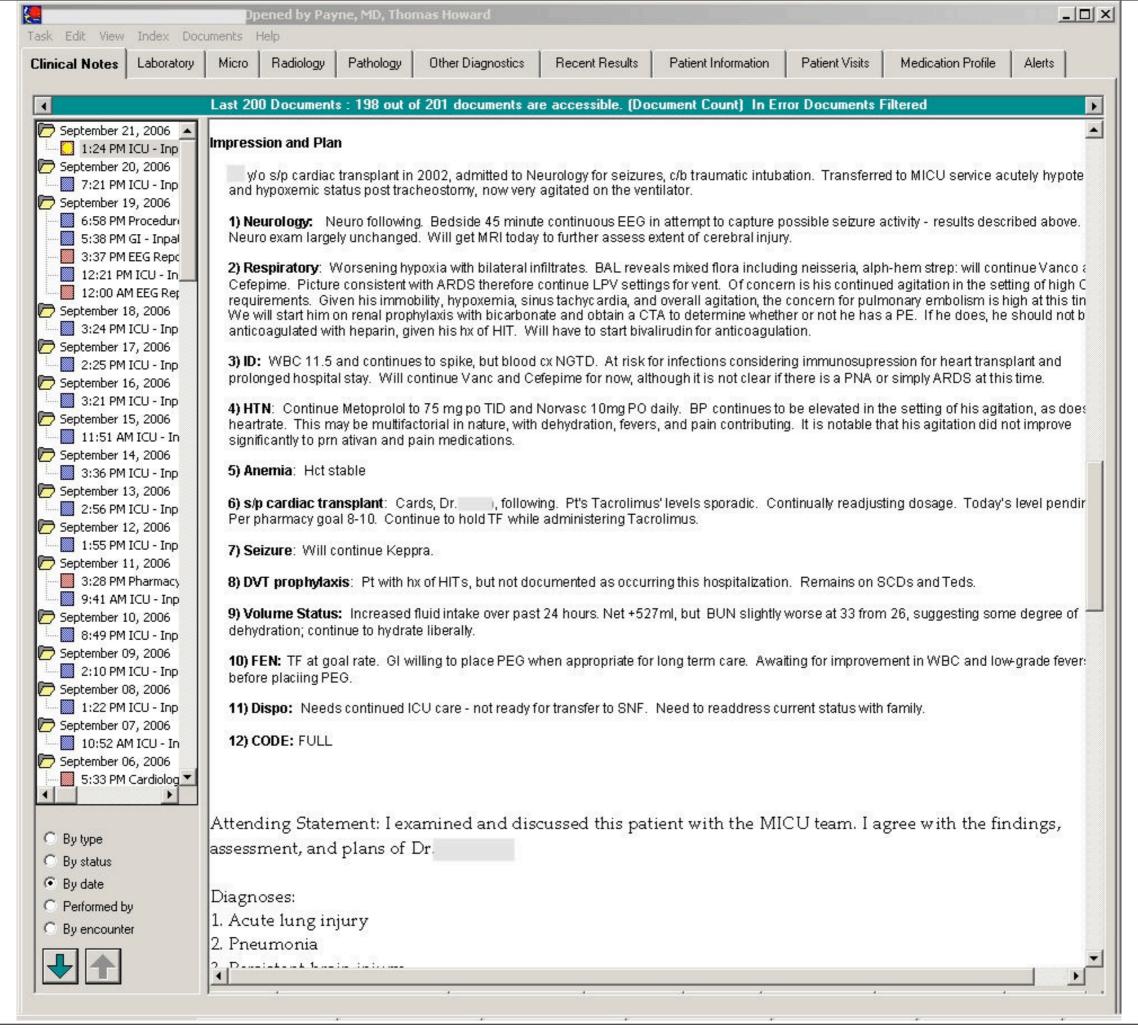
- The story of moving from paper to electronic notes
- NLP and clinical decision support
- 3 examples: NLP in UW Medicine EMRs
- Summary and discussion



toughous ters half prostate cencer. Cecal mass for obstruction in the fature - may held surgery a so Please transfirse to Het > 30%.

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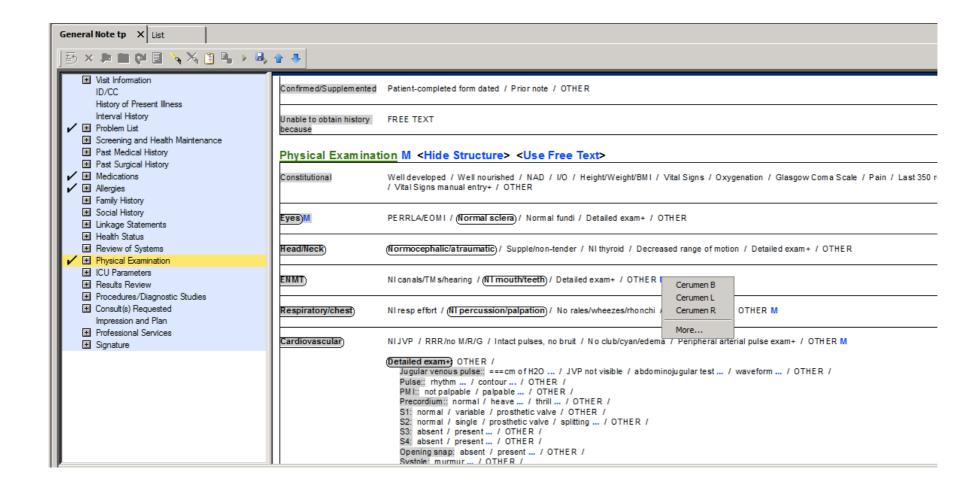


Why is narrative text valuable?

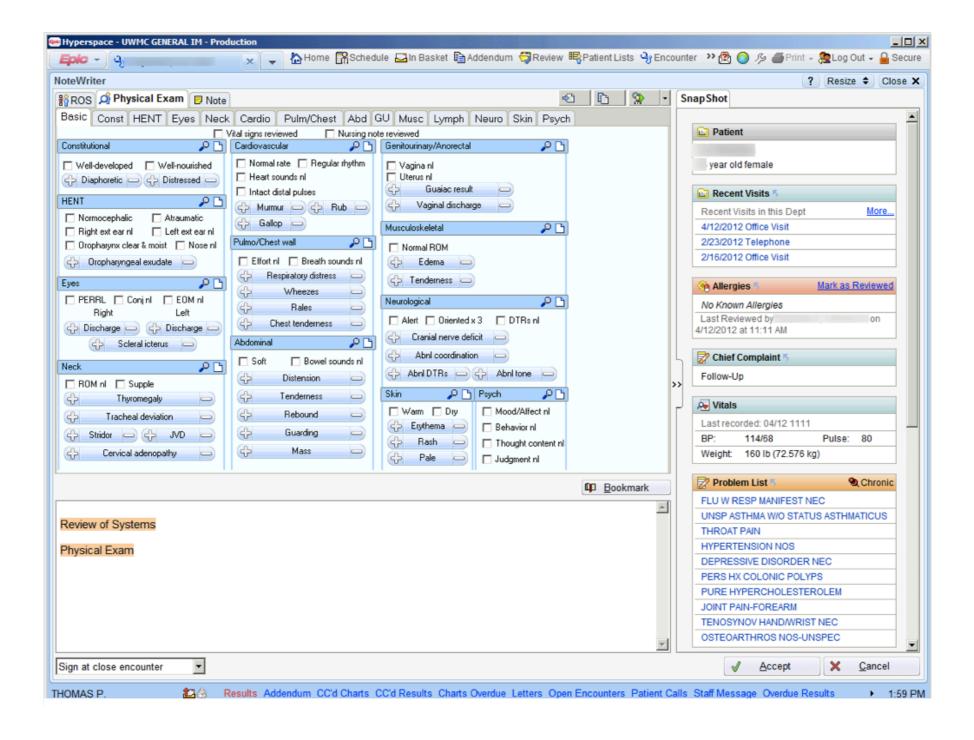
- Narrative contains history, details of history and exam, and most importantly the thinking of the clinician. (This is a rare overlap between needs of reimbursement, clinical care, teaching, and research.)
- Each note contains kernels of truth. Templates, direct entry aids, copy/paste can hide them.
- In UW Medicine there are electronic notes from ~1.4 million visits and 68,000 admissions each year → great potential to improve decision-making and to learn.



Tools for structured note entry



Tools for structured note entry



Problems with structured note entry

- Training requirement higher
- In our experience, most physicians don't like to write with them.
- Most physicians don't like to read them (except narrative portion).
- Important detail may be lost.

Topics today

- The story of moving from paper to electronic notes
- NLP and clinical decision support
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Can Electronic Clinical Documentation Help Prevent Diagnostic Errors?

Gordon D. Schiff, M.D., and David W. Bates, M.D.

The United States is about to invest nearly \$50 billion in health information technology (HIT) in an attempt to push the country to a tipping point with

many questions about it persist. For example, can it be leveraged to improve quality without adversely affecting clinicians' efficiency? Will the quality of electronic notes

ing physicians from the patient, discouraging independent data gathering and assessment, and perpetuating errors.⁴ But we envision a redesigned documenta-

respect to t puterized re pected to in reduce the damental q to design e ords (EHRs) workflow ar Although cl plays a cent occupies a s of physiciar tion practic dictated by quirements. of documer clearly descr what is goin

paper records, shifting to electronic systems could substantially improve clinicians' knowledge about the patient. The problem of having too much information is now surpassing that of having too little, and it will become increasingly difficult to review all the patient information that is

Electroni to reduce th

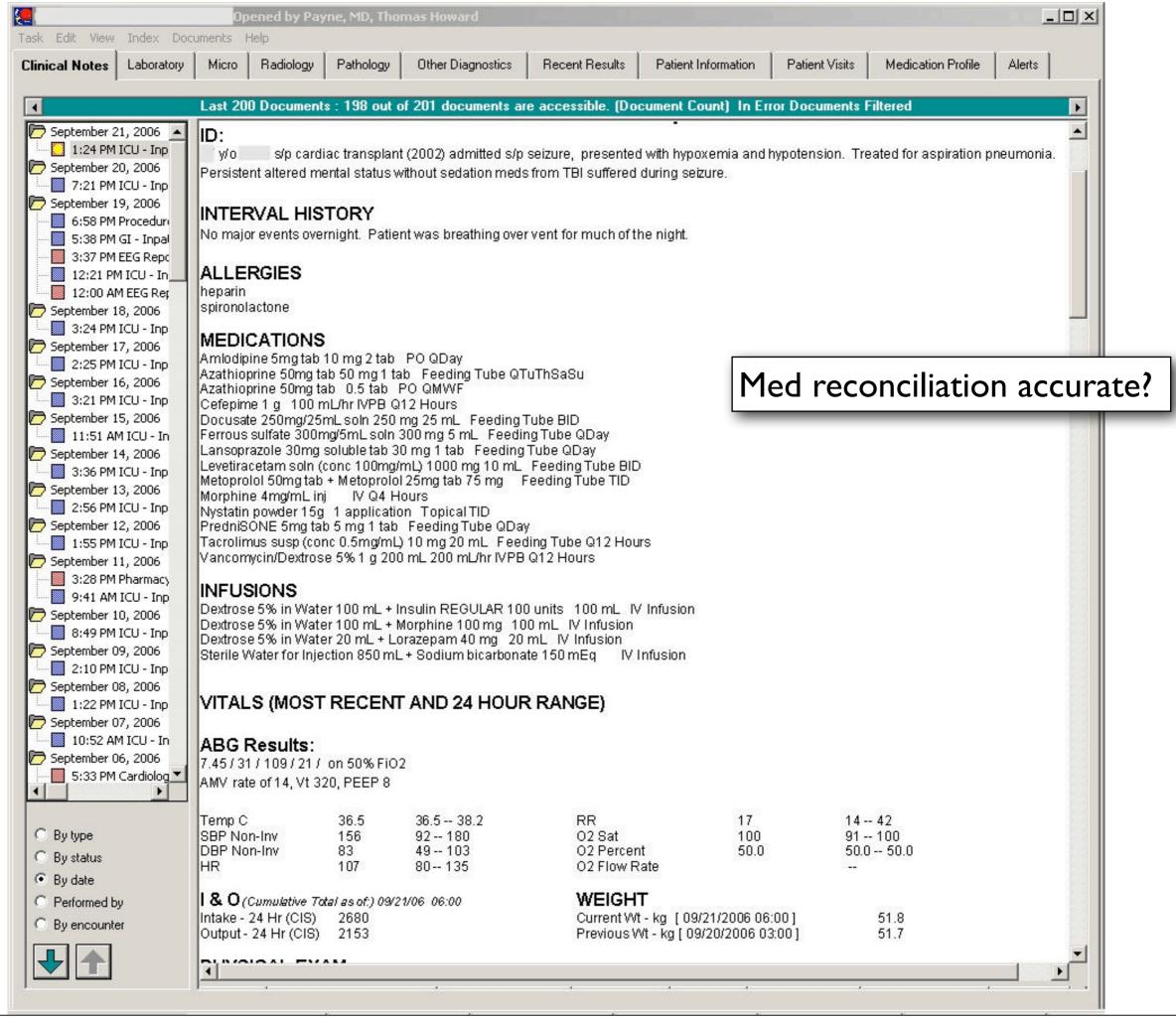
errors, but the other benefits of electronic records are less clear.² We must ensure that electronic clinical documentation works effectively to improve care if more benefits are to be achieved. Yet

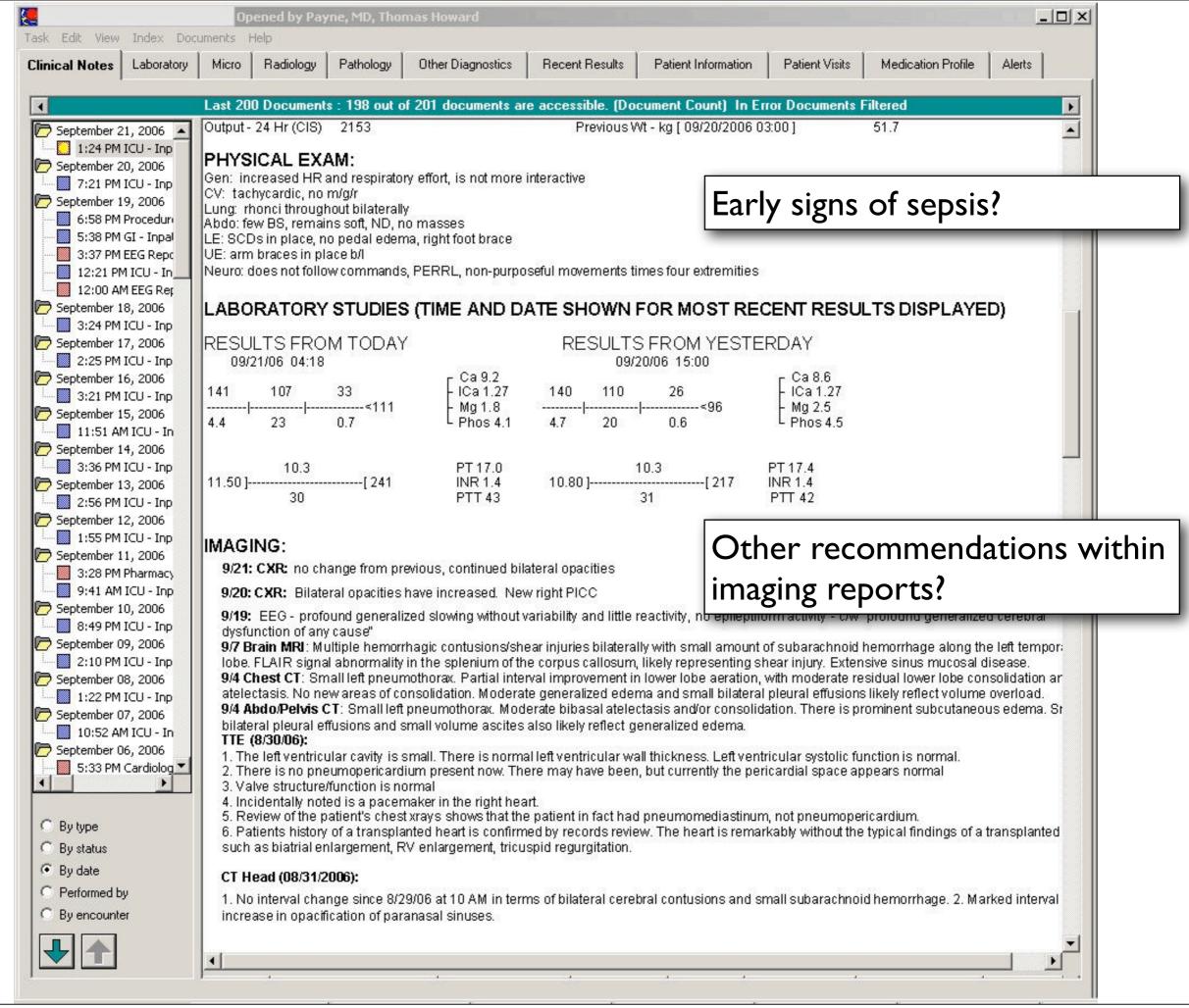
the sentiments and claims of many physicians, who argue that electronic documentation in its current incarnation is time-consuming and can degrade diagnostic thinking — by distract-

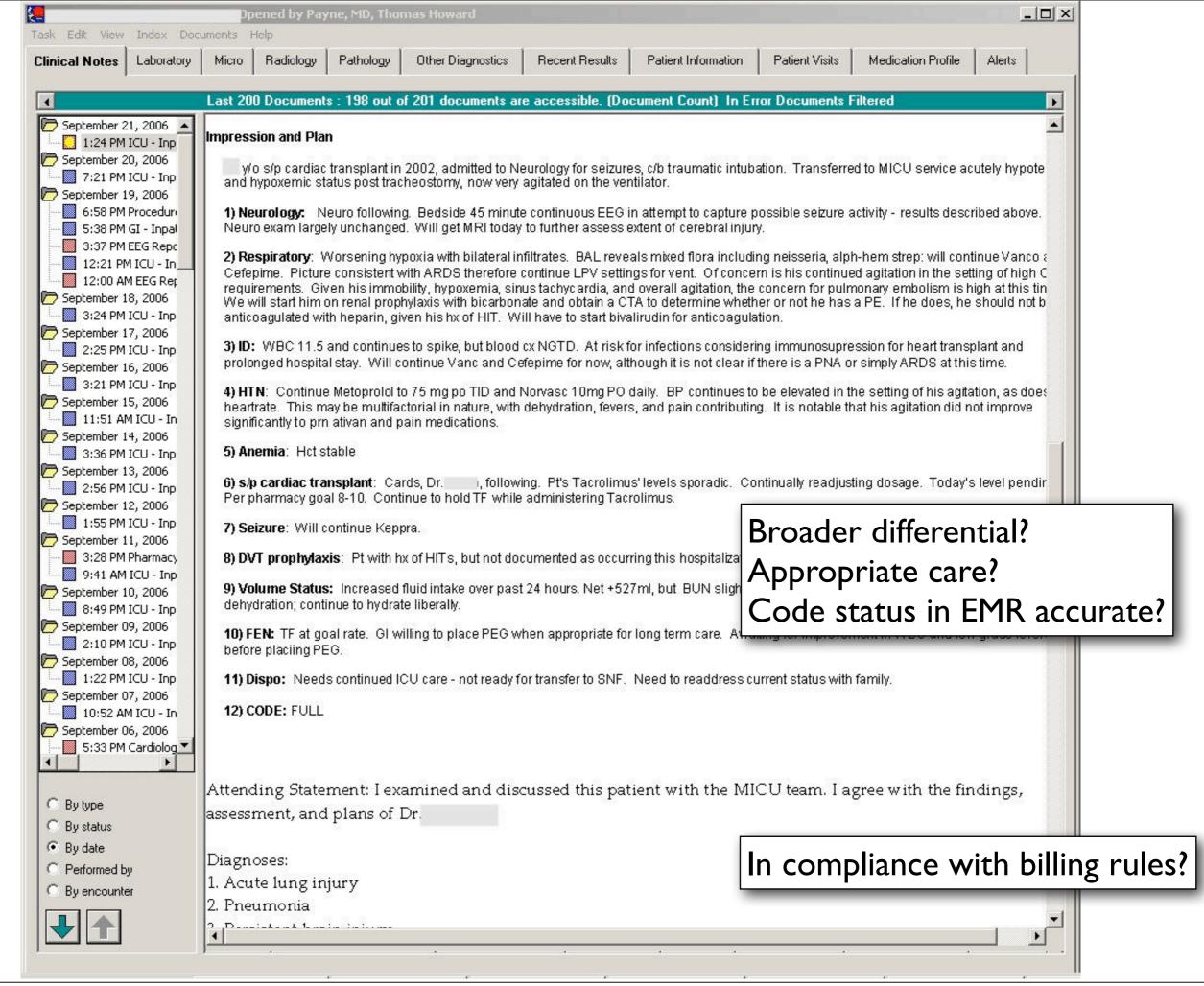
anticipates new proving diagnoelies on the puignosticians" of gnostic process able, not heroic, umentation will ort. Systems deicians will need documentation of the next genand policymakead by adopting approach than n which billing lation and manriders are forced ng boxes rather fully documentthinking.

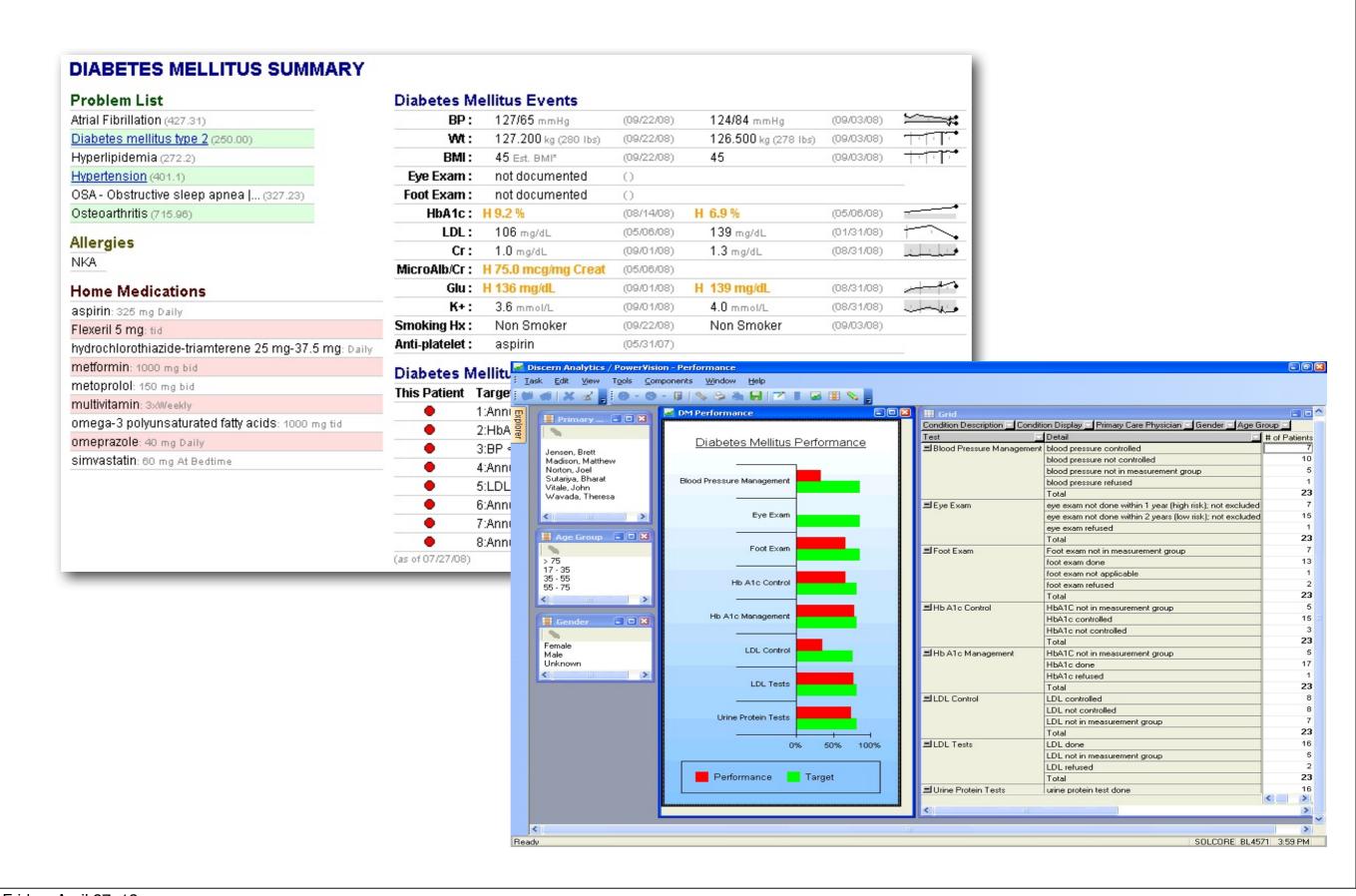
There are numerous ways in which EHRs can diminish diagnostic errors (see table). The first lies in filtering, organizing, and providing access to information. Making accurate diagnoses has

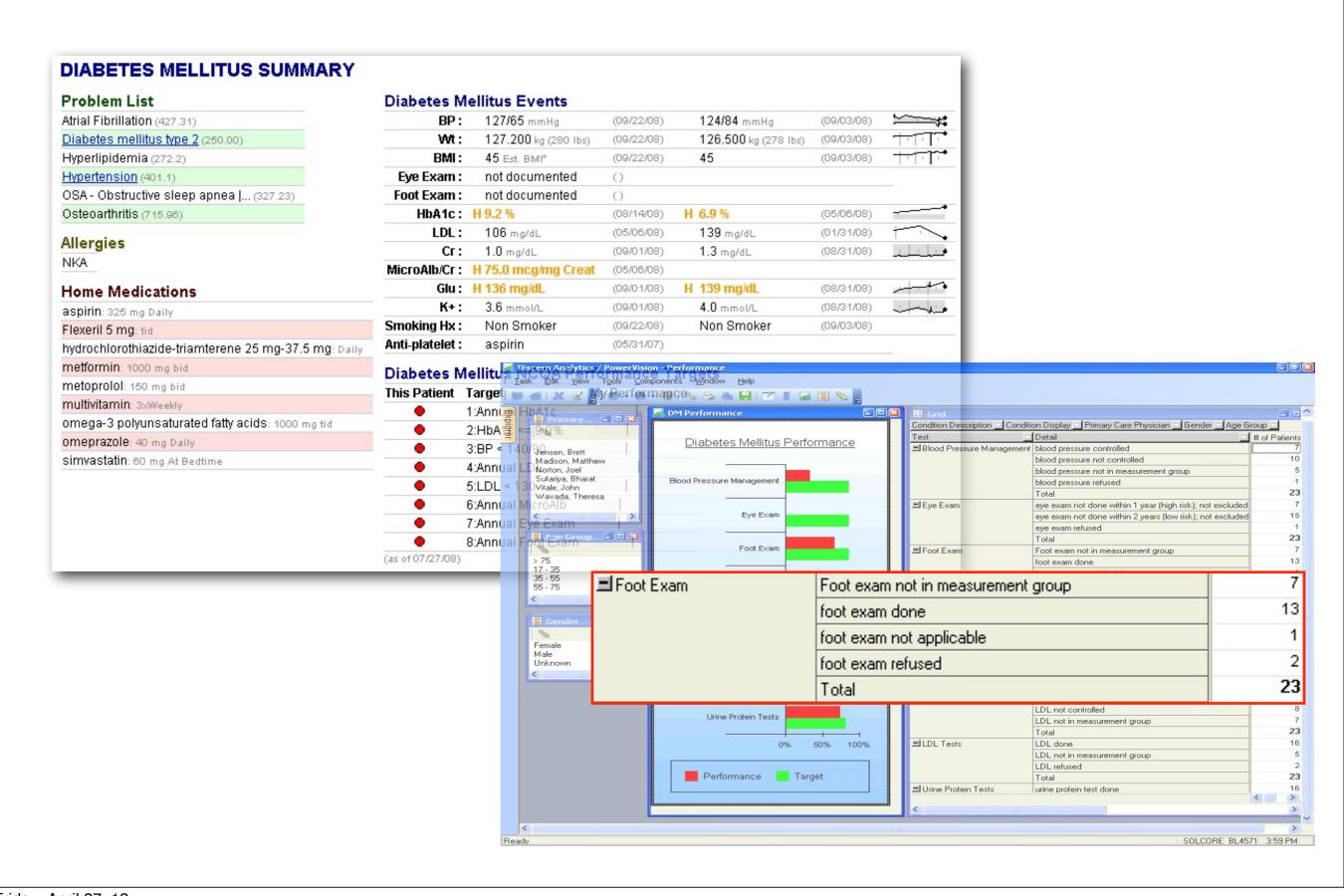
N ENGL J MED 2010; 362:1066-9











Analysis of UHC Core Measures data within UW Medicine

Current availability of data elements	HF	AMI	OPPS SCIP	All 3 measures	
Structured, codified, machine-readable format	3 (17%)	6 (15%)	I (II%)	10 (15%)	
Free text from canonical single source	9 (50%)	11 (28%)	6 (67%)	26 (39%)	
Free text from canonical multiple sources	I (6%)	I (3%)	0 (0%)	2 (3%)	85%
Handwritten documentation, human interference required	5 (28%)	22 (55%)	2 (22%)	29 (43%)	

Analysis of 67 metrics reported to University Healthcare Consortium for heart failure (HF), acute myocardial infarction (AMI), and outpatient surgical care improvement project (OPPS SCIP) metrics. Together, these measures include 67 distinct metrics that require reporting to UHC. Supporting data in source systems (ORCA EMR, echocardiology, Docusys OR system, Reg/ADT, and other systems) for reporting these 67 metrics were analyzed.





Slide courtesy of Tony Black, Biomedical Informatics Core, Institute of Translational Health Sciences, University of Washington

The Challenge of Measuring Quality of Care From the Electronic Health Record

Carol P. Roth, RN, MPH Yee-Wei Lim, MD, PhD Joshua M. Pevnick, MD Steven M. Asch, MD, MPH Elizabeth A. McGlynn, PhD

Accessible	n	Percentage	Hard to Access	n	Percentage
Demographics	482	100	Disease-specific history	142	30
Diagnosis	346	72	Care site	133	28
Prescription	167	35	Physical exam	67	14
Past medical history	148	31	Refusal	60	13
Procedure date	117	24	Patient education	53	11
Lab date	81	17	Social history	52	11
Problem/chief complaint	57	12	Treatment	25	5
Vital sign/weight/height	46	10	Diagnostic test result	18	4
Allergy	42	9	Imaging result	17	4
Lab result	38	8	Contraindication	15	3
Medication history	28	6	Pathology	11	2
Diagnostic test date	22	5	Family history	11	2
Imaging date	22	5	ECG result	6	1
Medications, current	13	3	X-ray result	2	<1
Vaccination	9	2			
X-ray date	6	1			
EKG date	6	1			

American Journal of Medical Quality, Vol. 24, No. 5, Sep/Oct 2009

Topics today

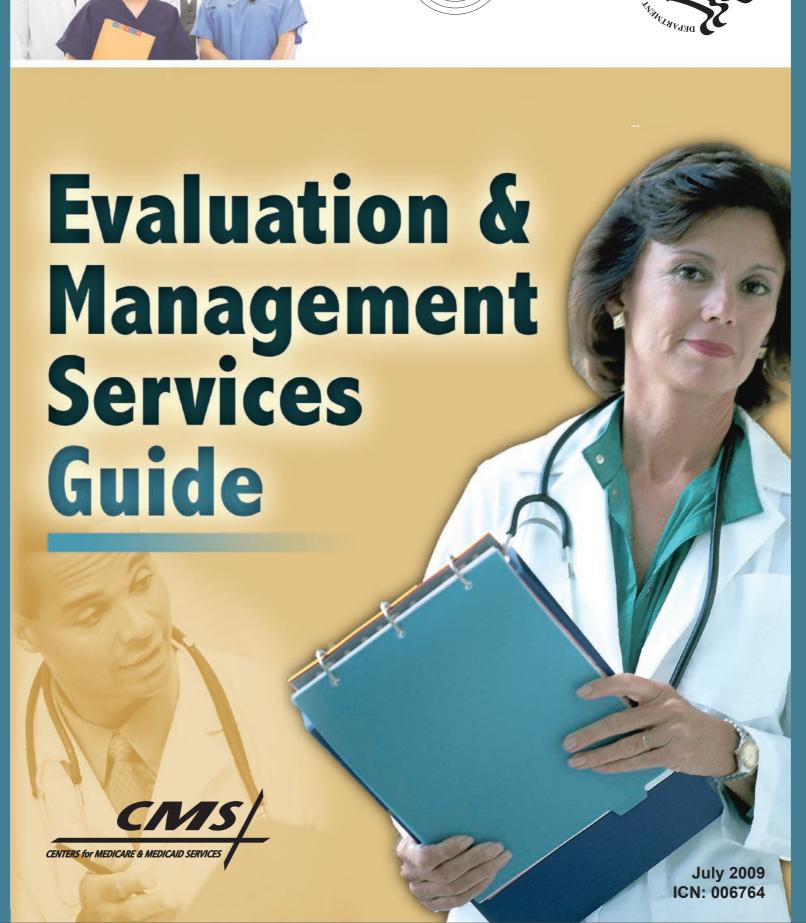
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B. History of Present Illness

HPI is a chronological description of the development of the patient's present illness from the first sign and/or symptom or from the previous encounter to the present. HPI elements are:

- Location. For example, pain in left leg;
- Quality. For example, aching, burning, radiating;
- Severity. For example, 10 on a scale of 1 to 10;
- Duration. For example, it started three days ago;
- Timing. For example, it is constant or it comes and goes;
- Context. For example, lifted large object at work;
- Modifying factors. For example, it is better when heat is applied; and
- Associated signs and symptoms. For example, numbness.

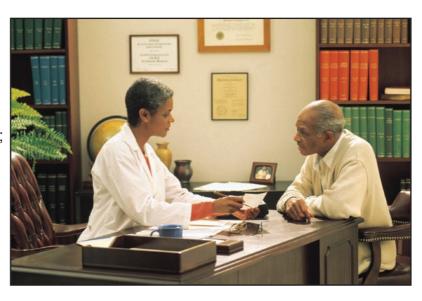
There are two types of HPIs:

- **1.** Brief, which includes documentation of one to three HPI elements. In the following example, three HPI elements location, severity, and duration are documented:
 - CC: A patient seen in the office complains of left ear pain.
 - Brief HPI: Patient complains of dull ache in left ear over the past 24 hours.
- **2.** Extended, which includes documentation of at least four HPI elements or the status of at least three chronic or inactive conditions. In the following example, five HPI elements location, severity, duration, context, and modifying factors are documented:
 - Extended HPI: Patient complains of dull ache in left ear over the past 24 hours. Patient states he went swimming two days ago. Symptoms somewhat relieved by warm compress and ibuprofen.

C. Review of Systems

ROS is an inventory of body systems obtained by asking a series of questions in order to identify signs and/or symptoms that the patient may be experiencing or has experienced. The following systems are recognized:

- Constitutional Symptoms (e.g., fever, weight loss);
- Eyes;
- Ears, Nose, Mouth, Throat;
- Cardiovascular:
- Respiratory;
- Gastrointestinal;
- Genitourinary;
- Musculoskeletal;
- Integumentary (skin and/or breast);
- Neurological;
- Psychiatric;
- Endocrine:
- Hematologic/Lymphatic; and
- Allergic/Immunologic.



There are three types of ROS:

- **1.** Problem pertinent, which inquires about the system directly related to the problem identified in the HPI. In the following example, one system the ear is reviewed:
 - CC: Earache.
 - ROS: Positive for left ear pain. Denies dizziness, tinnitus, fullness, or headache.
- **2.** Extended, which inquires about the system directly related to the problem(s) identified in the HPI and a limited number (two to nine) of additional systems. In the following example, two systems cardiovascular and respiratory are reviewed:
 - CC: Follow up visit in office after cardiac catheterization. Patient states "I feel great."
 - ROS: Patient states he feels great and denies chest pain, syncope, palpitations, and shortness of breath. Relates occasional unilateral, asymptomatic edema of left leg.
- **3.** Complete, which inquires about the system(s) directly related to the problem(s) identified in the HPI plus all additional (minimum of 10) body systems. In the following example, 10 signs and symptoms are reviewed:
 - CC: Patient complains of "fainting spell."
 - ROS:
 - Constitutional: weight stable, + fatigue.
 - Eyes: + loss of peripheral vision.
 - Ear, Nose, Mouth, Throat: no complaints.
 - Cardiovascular: + palpitations; denies chest pain; denies calf pain, pressure, or edema.
 - Respiratory: + shortness of breath on exertion.
 - Gastrointestinal: appetite good, denies heartburn and indigestion. + episodes of nausea.
 Bowel movement daily; denies constipation or loose stools.
 - Urinary: denies incontinence, frequency, urgency, nocturia, pain, or discomfort.
 - Skin: + clammy, moist skin.
 - Neurological: + fainting; denies numbness, tingling, and tremors.
 - Psychiatric: denies memory loss or depression. Mood pleasant.

D. Past, Family, and/or Social History

PFSH consists of a review of the patient's:

- Past history including experiences with illnesses, operations, injuries, and treatments;
- Family history including a review of medical events, diseases, and hereditary conditions that may place him or her at risk; and
- Social history including an age appropriate review of past and current activities.

The two types of PFSH are:

- 1. Pertinent, which is a review of the history areas directly related to the problem(s) identified in the HPI. The pertinent PFSH must document one item from any of the three history areas. In the following example, the patient's past surgical history is reviewed as it relates to the current HPI:
- Patient returns to office for follow up of coronary artery bypass graft in 1992. Recent cardiac catheterization demonstrates 50 percent occlusion of vein graft to obtuse marginal artery.

II. Examination

An examination may involve several organ systems or a single organ system. The extent of the examination performed is based upon clinical judgment, the patient's history, and nature of the presenting problem.

The chart below depicts the body areas and organ systems that are recognized according to the Current Procedural Terminology (CPT) book:

Recognized Body Areas and Organ Systems

BODY AREAS	ORGAN SYSTEMS
 Head, including face Neck Chest, including breasts and axilla Abdomen Genitalia, groin, buttocks Back Each extremity 	 Eyes Ears, Nose, Mouth, and Throat Cardiovascular Respiratory Gastrointestinal Genitourinary Musculoskeletal Skin
	NeurologicHematologic/Lymphatic/ImmunologicPsychiatric

There are two types of examinations that can be performed during a patient's visit:

- 1. General multi-system examination, which involves the examination of one or more organ systems or body areas. According to the 1997 Documentation Guidelines for Evaluation and Management Services, each body area or organ system contains two or more of the following examination elements:
 - Constitutional Symptoms (e.g., fever, weight loss);
 - Eyes;
 - Ears, Nose, Mouth, Throat;
 - Neck;
 - Respiratory;
 - Cardiovascular;
 - Chest (breasts);
 - Gastrointestinal;
 - Genitourinary;
 - Lymphatic;
 - Musculoskeletal;
 - Integumentary;
 - Neurological; and
 - Psychiatric.



The elements required for general multi-system examinations are depicted in the following chart.

General Multi-System Examinations

TYPE OF EXAMINATION	DESCRIPTION
Problem Focused	Include performance and documentation of 1 - 5 elements identified by a bullet in 1 or more organ system(s) or body area(s).
Expanded Problem Focused	Include performance and documentation of at least 6 elements identified by a bullet in 1 or more organ system(s) or body area(s).
Detailed	Include at least 6 organ systems or body areas. For each system/area selected, performance and documentation of at least 2 elements identified by a bullet is expected. Alternatively, may include performance and documentation of at least 12 elements identified by a bullet in 2 or more organ systems or body areas.
Comprehensive	1997 Documentation Guidelines for Evaluation and Management Services: Include at least 9 organ systems or body areas. For each system/area selected, all elements of the examination identified by a bullet should be performed, unless specific directions limit the content of the examination. For each area/system, documentation of at least 2 elements identified by bullet is expected.
	1995 Documentation Guidelines for Evaluation and Management Services: Eight organ systems must be examined. If body areas are examined and counted, they must be over and above the 8 organ systems.

According to the 1997 Documentation Guidelines for Evaluation and Management Services, the 10 single organ system examinations are:

- Cardiovascular;
- Ear, Nose, and Throat;
- Eve:
- Genitourinary;
- Hematologic/Lymphatic/Immunologic;
- Musculoskeletal;
- Neurological;
- Psychiatric;
- Respiratory; and
- Skin.

Some important points that should be kept in mind when documenting the number of diagnoses or management options are:

- For each encounter, an assessment, clinical impression, or diagnosis should be documented which may be explicitly stated or implied in documented decisions regarding management plans and/or further evaluation.
 - For a presenting problem with an established diagnosis, the record should reflect whether the problem is:
 - Improved, well controlled, resolving, or resolved.
 - Inadequately controlled, worsening, or failing to change as expected.
 - For a presenting problem without an established diagnosis, the assessment or clinical impression may be stated in the form of differential diagnoses or as a "possible," "probable," or "rule out" diagnosis
- The initiation of, or changes in, treatment should be documented. Treatment includes a wide range of management options including patient instructions, nursing instructions, therapies, and medications.
- If referrals are made, consultations requested, or advice sought, the record should indicate to whom or where the referral or consultation is made or from whom advice is requested.

Amount and/or Complexity of Data to be Reviewed

The amount and/or complexity of data to be reviewed is based on the types of diagnostic testing ordered or reviewed. Indications of the amount and/or complexity of data being reviewed include:

- A decision to obtain and review old medical records and/or obtain history from sources other than the patient (increases the amount and complexity of data to be reviewed);
- Discussion of contradictory or unexpected test results with the physician who performed or interpreted the test (indicates the complexity of data to be reviewed); and
- The physician who ordered a test personally reviews the image, tracing, or specimen to supplement information from the physician who prepared the test report or interpretation (indicates the complexity of data to be reviewed).

Some important points that should be kept in mind when documenting amount and/or complexity of data to be reviewed include:

- If a diagnostic service is ordered, planned, scheduled, or performed at the time of the E/M encounter, the type of service should be documented.
- The review of laboratory, radiology, and/or other diagnostic tests should be documented. A simple notation such as "White blood count elevated" or "Chest x-ray unremarkable" is acceptable. Alternatively, the review may be documented by initialing and dating the report that contains the test results.
- A decision to obtain old records or obtain additional history from the family, caretaker, or other source to supplement information obtained from the patient should be documented.
- Relevant findings from the review of old records and/or the receipt of additional history from the family, caretaker, or other source to supplement information obtained from the patient should be documented. If there is no relevant information beyond that already obtained, this fact should be documented. A notation of "Old records reviewed" or "Additional history obtained from family" without elaboration is not sufficient.
- Discussion about results of laboratory, radiology, or other diagnostic tests with the physician who performed or interpreted the study should be documented.
- The direct visualization and independent interpretation of an image, tracing, or specimen previously or subsequently interpreted by another physician should be documented.

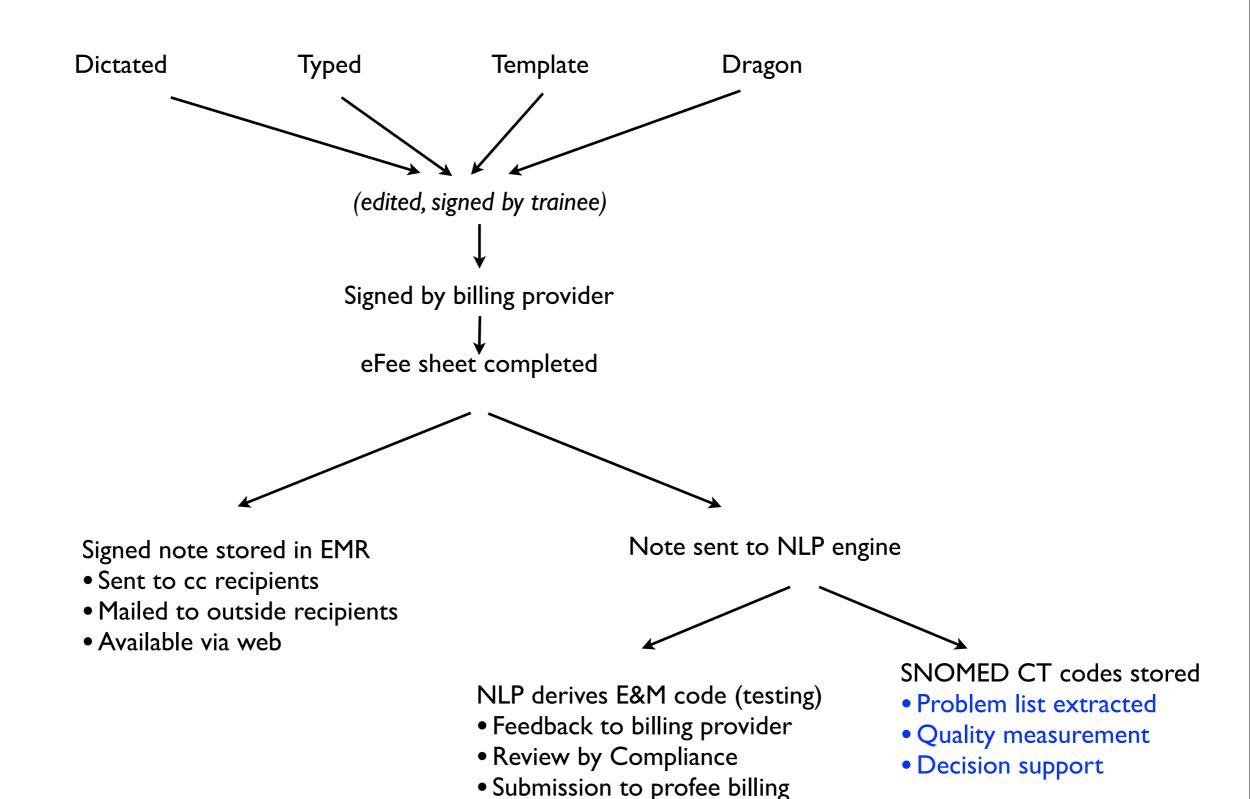
TABLE OF RISK

Level of Risk	Presenting Problem(s)	Diagnostic Procedure(s) Ordered	Management Options Selected
Minimal	 One self-limited or minor problem, eg, cold, insect bite, tinea corporis 	 Laboratory tests requiring venipuncture Chest x-rays EKG/EEG Urinalysis Ultrasound, eg, echocardiography KOH prep 	 Rest Gargles Elastic bandages Superficial dressings
Low	 Two or more self-limited or minor problems One stable chronic illness, eg, well controlled hypertension, non-insulin dependent diabetes, cataract, BPH Acute uncomplicated illness or injury, eg, cystitis, allergic rhinitis, simple sprain 	 Physiologic tests not under stress, eg, pulmonary function tests Non-cardiovascular imaging studies with contrast, eg, barium enema Superficial needle biopsies Clinical laboratory tests requiring arterial puncture Skin biopsies 	 Over-the-counter drugs Minor surgery with no identified risk factors Physical therapy Occupational therapy IV fluids without additives
Moderate	 One or more chronic illnesses with mild exacerbation, progression, or side effects of treatment Two or more stable chronic illnesses Undiagnosed new problem with uncertain prognosis, eg, lump in breast Acute illness with systemic symptoms, eg, pyelonephritis, pneumonitis, colitis Acute complicated injury, eg, head injury with brief loss of consciousness 	 Physiologic tests under stress, eg, cardiac stress test, fetal contraction stress test Diagnostic endoscopies with no identified risk factors Deep needle or incisional biopsy Cardiovascular imaging studies with contrast and no identified risk factors, eg, arteriogram, cardiac catheterization Obtain fluid from body cavity, eg, lumbar puncture, thoracentesis, culdocentesis 	 Minor surgery with identified risk factors Elective major surgery (open, percutaneous or endoscopic) with no identified risk factors Prescription drug management Therapeutic nuclear medicine IV fluids with additives Closed treatment of fracture or dislocation without manipulation
High	 One or more chronic illnesses with severe exacerbation, progression, or side effects of treatment Acute or chronic illnesses or injuries that pose a threat to life or bodily function, eg, multiple trauma, acute MI, pulmonary embolus, severe respiratory distress, progressive severe rheumatoid arthritis, psychiatric illness with potential threat to self or others, peritonitis, acute renal failure An abrupt change in neurologic status, eg, seizure, TIA, weakness, sensory loss 	 Cardiovascular imaging studies with contrast with identified risk factors Cardiac electrophysiological tests Diagnostic Endoscopies with identified risk factors Discography 	 Elective major surgery (open, percutaneous or endoscopic) with identified risk factors Emergency major surgery (open, percutaneous or endoscopic) Parenteral controlled substances Drug therapy requiring intensive monitoring for toxicity Decision not to resuscitate or to de-escalate care because of poor prognosis

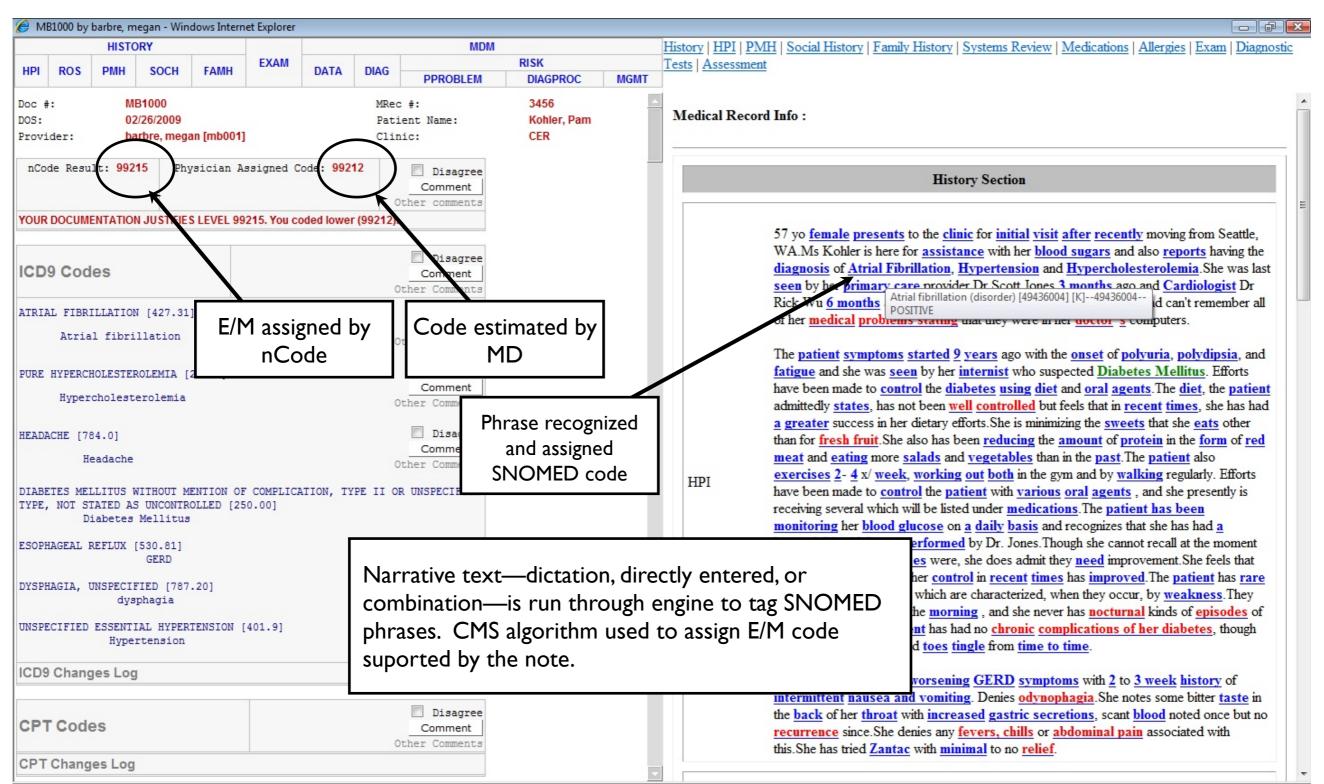
NLP in professional fee coding

- Our EMR contains a set of tools to process narrative text documents to tag phrases with SNOMED CT codes, and then to apply algorithms to assign E&M code supported by the document.
- Handles qualifiers, negation, and applies rules to increase tagging precision
- Gives feedback to provider for every note, in 3 seconds.
- Calibrated to standards set by compliance officer: 93% accurate in comparison with panel of profee coding professionals.

Note workflow, showing incorporation of natural language processing



NLP tagging, then CMS E/M rules applied



11/14/09

Result Type: Internal Medicine - Outpt Record

Service Date: June 27, 2007-15:36

Result Status: Performed By: Verified By:

Encounter Info:

Authenticated

* Final Report *

PROBLEM LIST:

- 1. ADVANCED DIRECTIVE see below
- 2. Parkinsons disease since 1980. Tremor improved with gamma knife right thalamotomy at Northwest Hospital August 2004.
- 3. Dementia. First noted 2002. History of medication related severe agitation, psychosis, now much improved.
- 4. Postherpetic neuralgia, left chest wall pain since November 2002. Some degree of perseveration and somatatization about this and other related bodily complaints related to dementia.
- 5. Chronic shoulder pain with severe osteoarthritis.
- 6. Peptic ulcer March 2004 due to nonsteroidal anti-inflammatory drugs.
- 7. Inguinal hernia. Somewhat symptomatic but easily reducible.

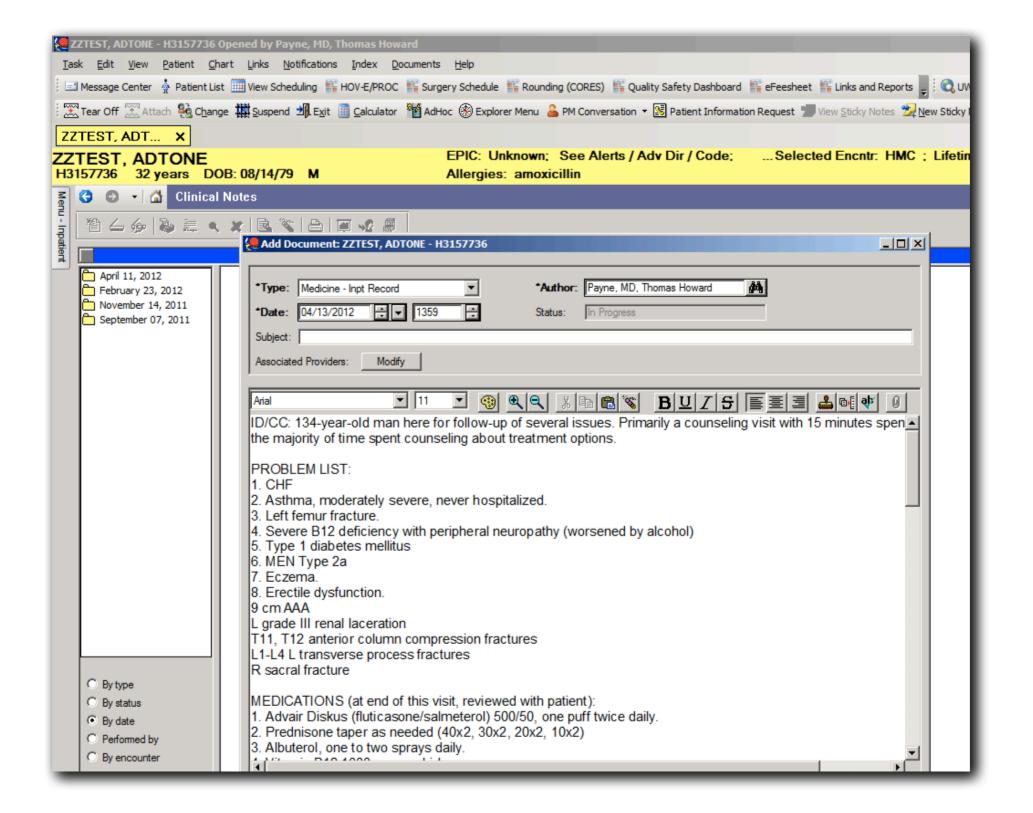
ADVANCED DIRECTIVE: Patient gave a very clear directive on June 22, 2005 that he not be resuscitated in the event of cardiac arrest, that he not receive airway intubation, that he not receive artificial nutrition via a feeding tube. He would like to be transferred to the hospital for severe, acute issues, with other medical interventions to be decided on a case by case basis.

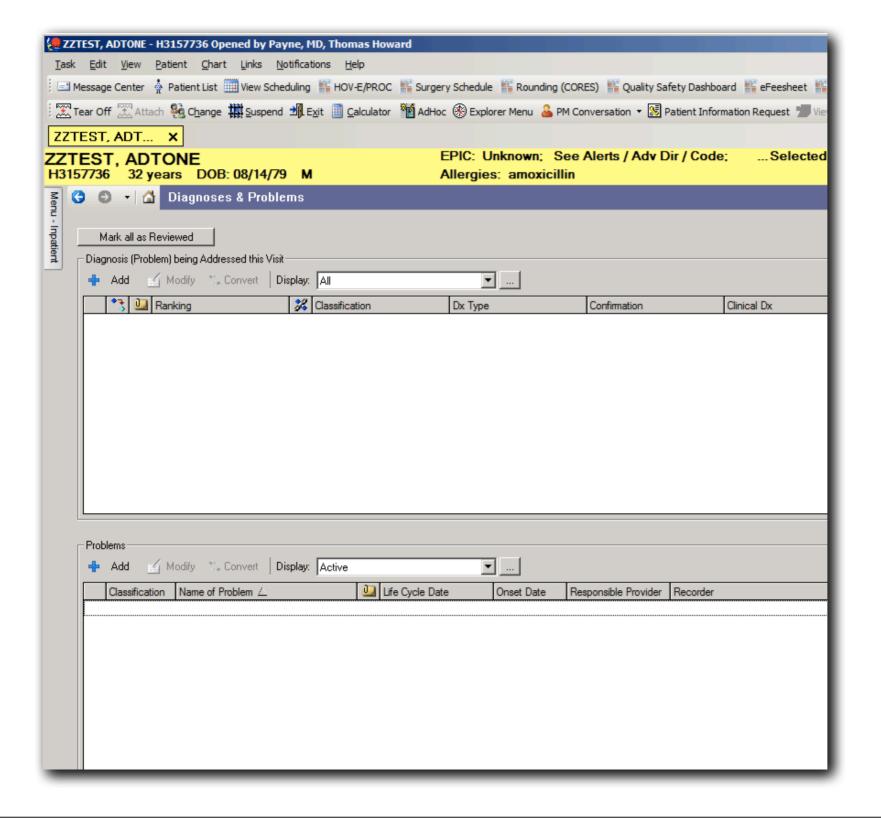
PAST MEDICAL HISTORY:

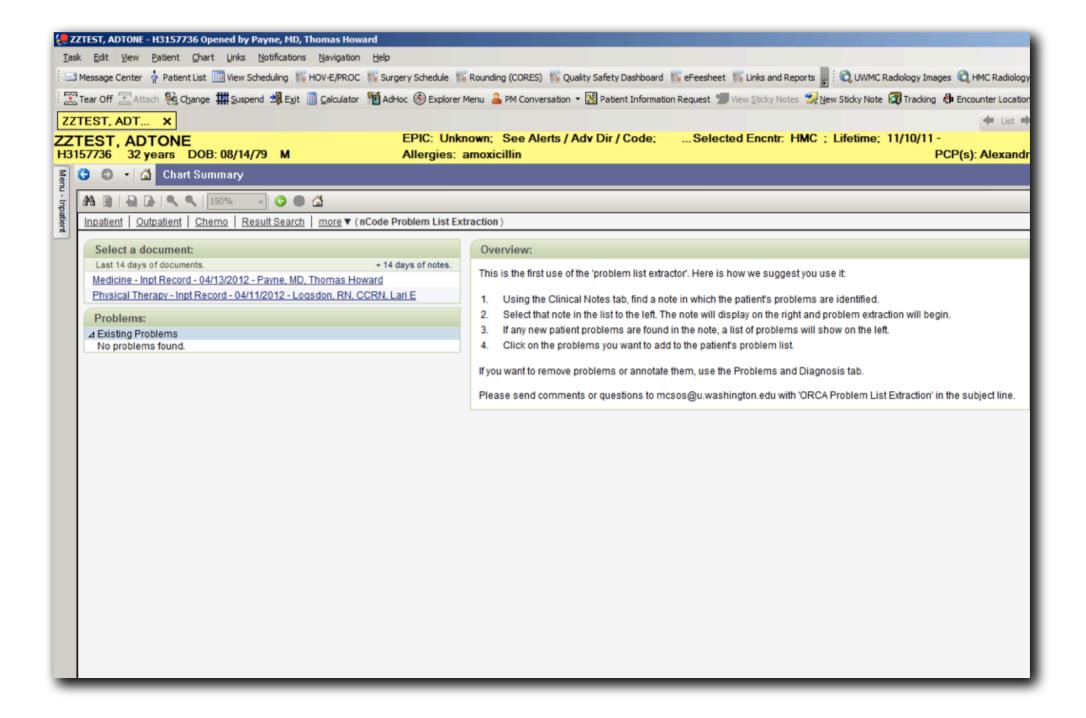
- Cholecystectomy.
- 2. Kidney stones.
- 3. Basal cell carcinoma, ear.

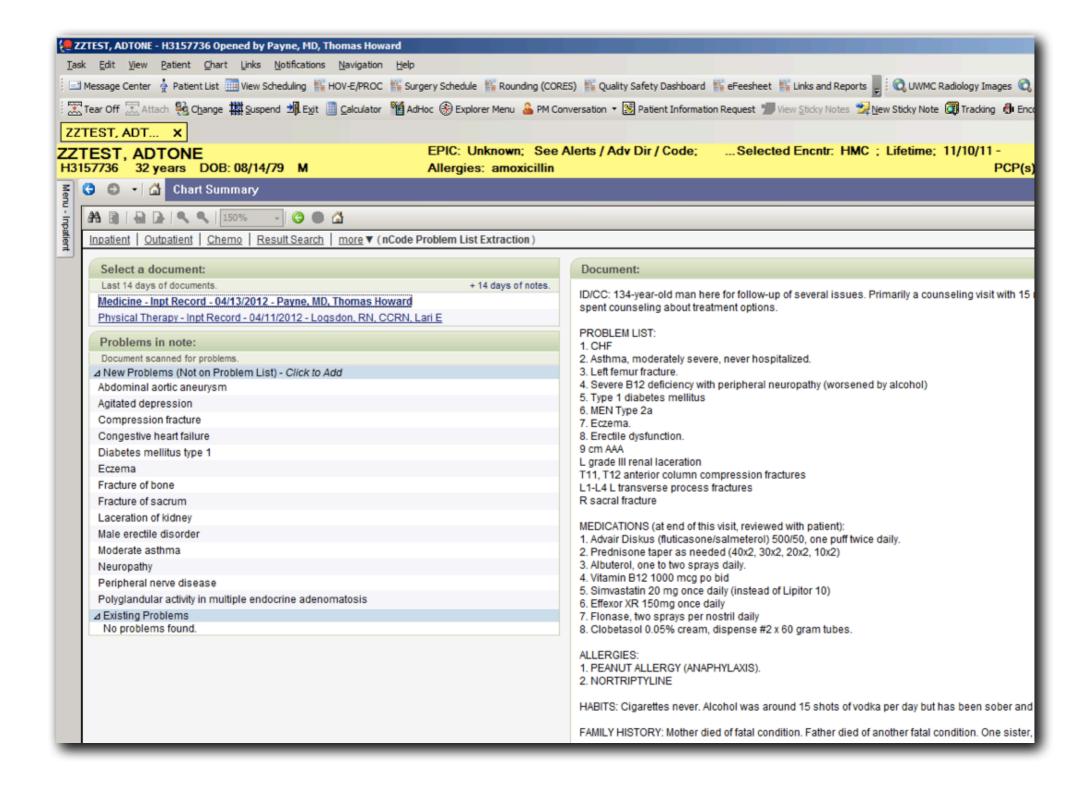
INTERVAL HISTORY:

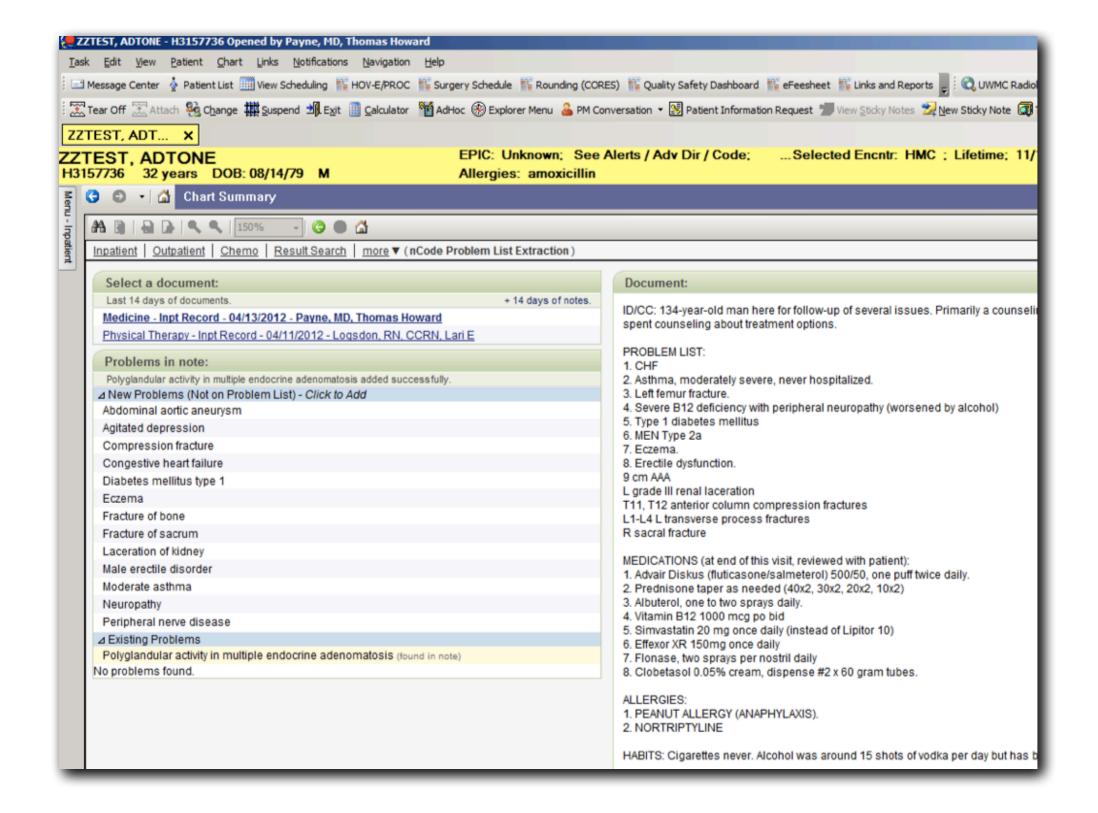
- 1. Inguinal hernia. Pain stable. There on most days. Comes and goes. Better with lying flat. No N/V.
- 2. Chronic shoulder pain. Now reports good pain relief at night with bedtime dosing only of methadone but worse pain during the day. Interfering with daily activities. Methadone was recently refilled by fax.

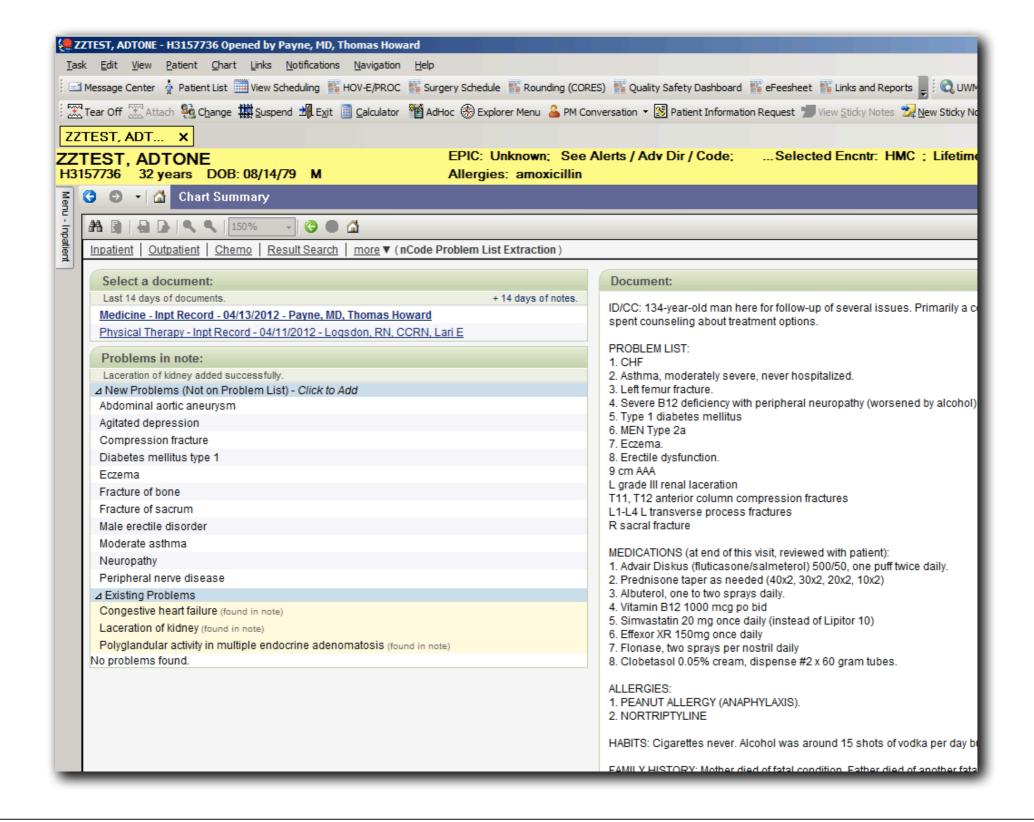


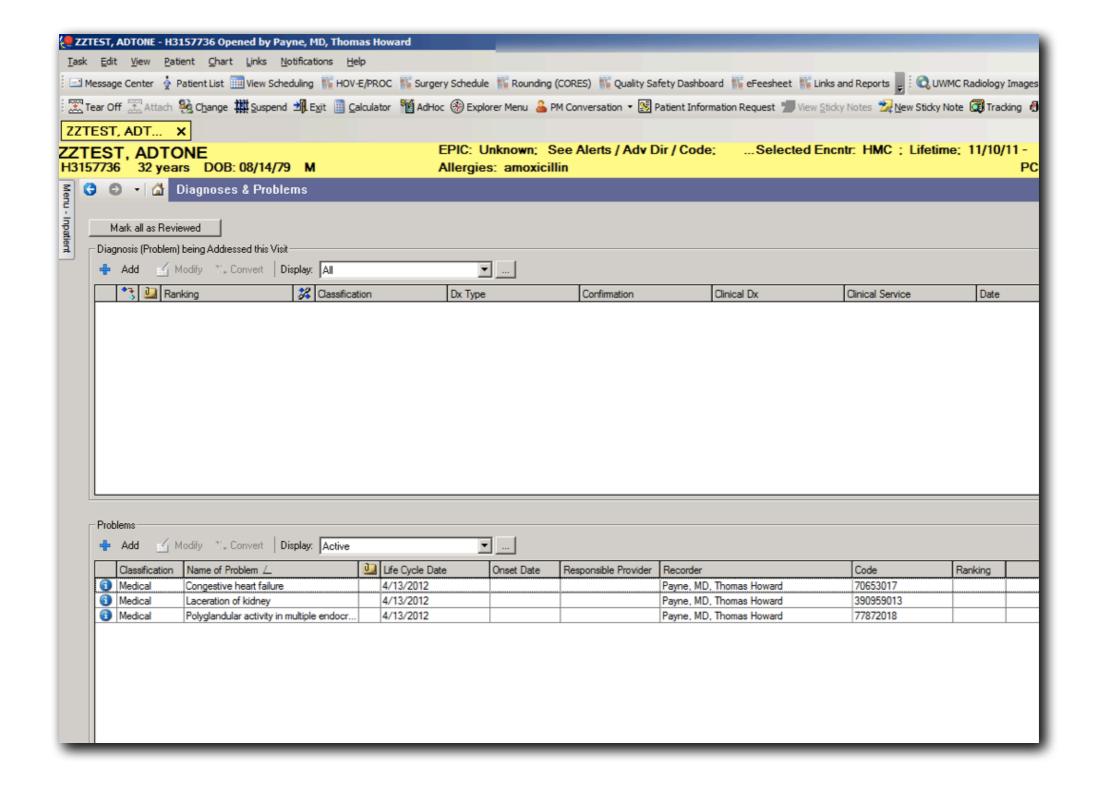


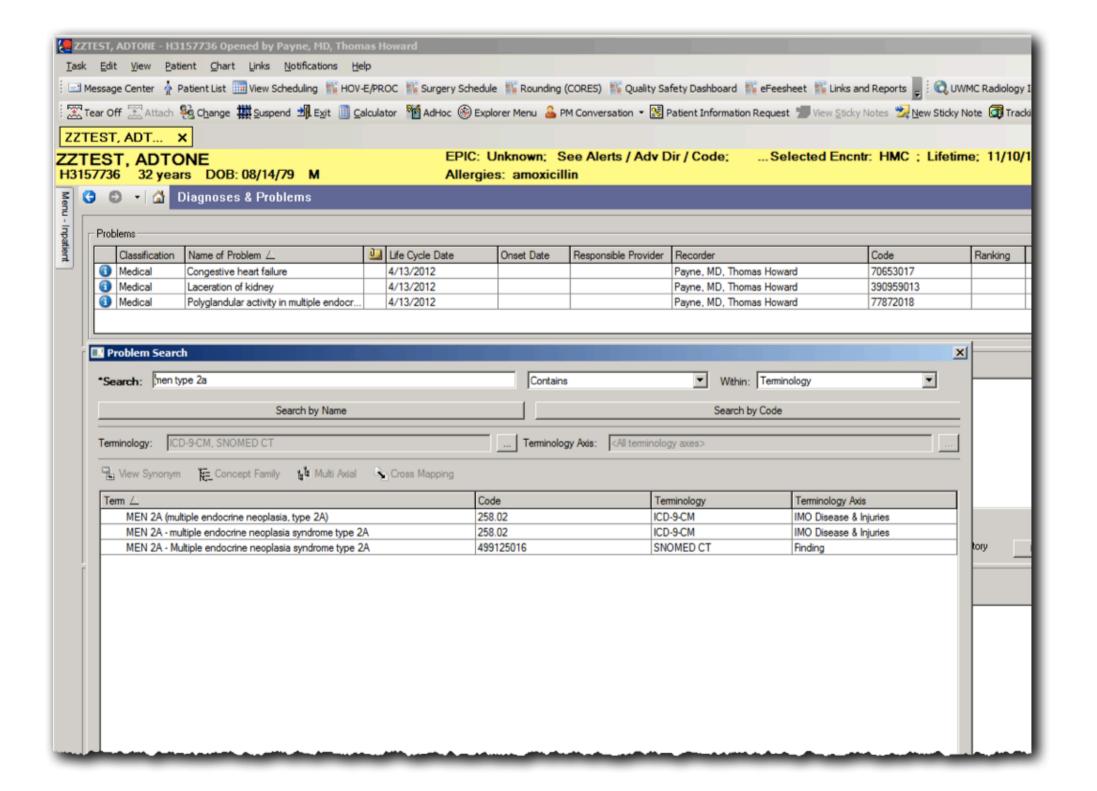












CT CHEST VENOUS PROTOCOL

CT ABDOMEN / PELVIS

INDICATION

Motorcycle crash.

Comparison: Trauma series same date and outside noncontrast CT chest abdomen and pelvis same date.

PROCEDURE:

Reconstruction thickness: 2.5mm. Interval: 2.5 mm

Superior extent: Thoracic inlet. Inferior extent: Ischial tuberosities

Intravenous contrast: Positive.

Oral contrast: Not used.

MPR's: Coronal, Chest, Abdomen and Pelvis, venous.

FINDINGS: **** CHEST ****

Aorta and great vessels: Normal for a venous phase study.

Mediastinal hematoma: Absent

Pericardial fluid: Absent

Endotracheal tube: Absent

Intercostal tubes: Absent

Right lung: Mild dependent upper lobe consolidation likely represents atelectasis.

IMPRESSION:

Multiple left rib fractures and left clavicular fracture.

Moderate left pneumothorax. The left lung is partially collapsed.

Right sacral fracture and left pelvic ring disruption with associated small extraperitoneal hematoma. Please refer to dedicated CT pelvis for details.

There is mild hydronephrosis of the right kidney, which may be secondary to a 4-mm renal calculus at the level of the distal ureter. Delayed abdominal radiograph is recommended.

Small amount of fluid surrounding the gallbladder, and nonspecific finding of uncertain clinical significance.

Comment:

Small left hemothorax.

Left apical extrapleural hematoma associated with rib fractures.

The above described fluid next to the gallbladder may simply represent the gallbladder wall. No definite fluid around the gallbladder or liver is identified.

Better visualized on CT cystogram, is the right pelvic calcification described above, which is external to the ureter and represents a phlebolith. No evidence of ureteral calculi.

Right adnexal cyst. Recommend nonemergent pelvic ultrasound for further evaluation to exclude cystic ovarian neoplasm.

Small hiatal hernia.

High-density fluid is present posterior and adjacent to the inferior aspect of the descending colon. This is contiguous with the pelvic retroperitoneal hematoma, and given the absence of abnormalities of the colon most likely represents extension of the retroperitoneal hematoma, which is not increased in size since the prior study.

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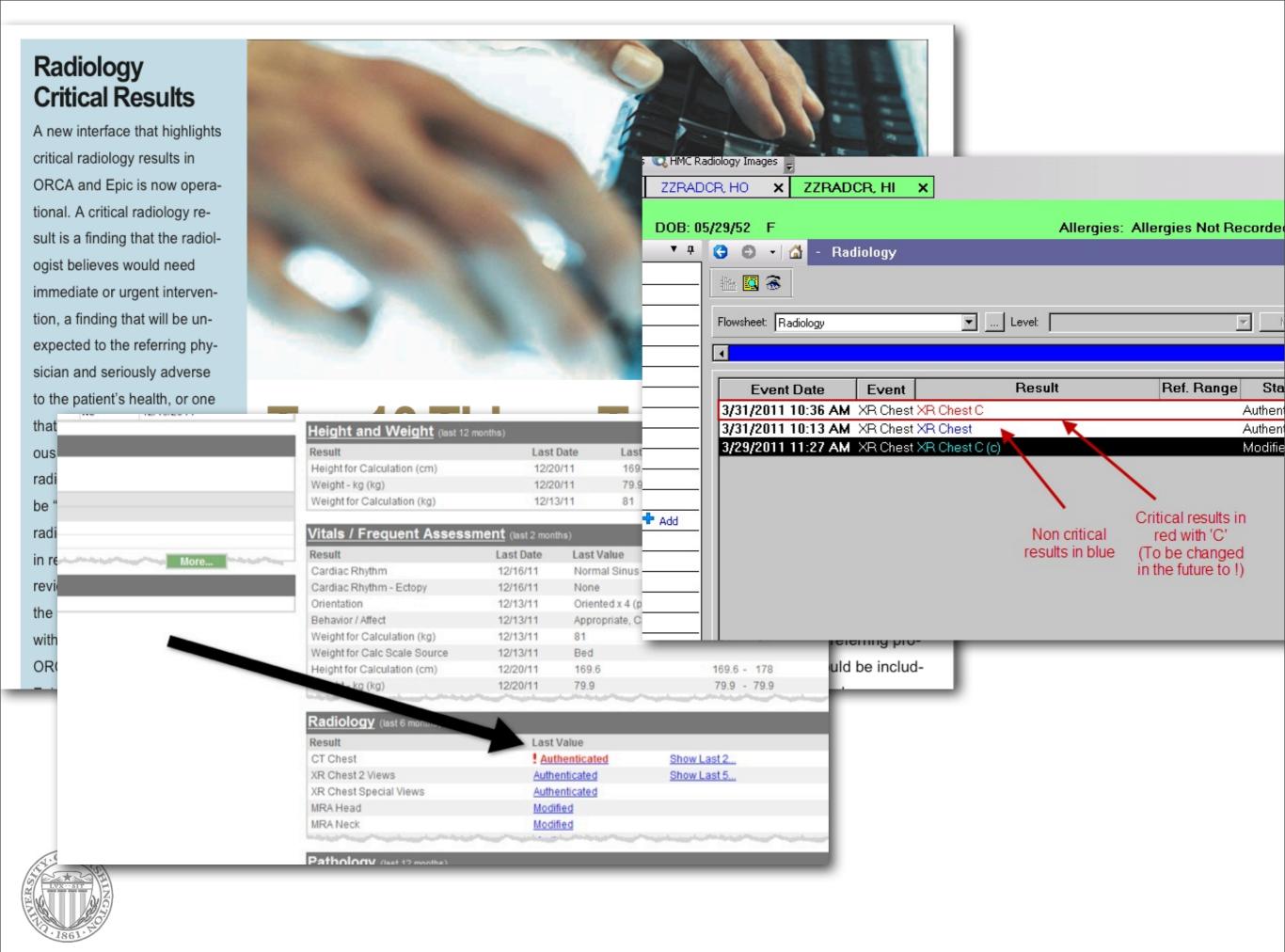
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Discussed with admitting surgical service at 8:30 p.m.



Topics today

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Speech technologies are mainstream



NLP can help clinical decision support

- Summarization
- Enhanced search
- Extracting key encoded information from narrative, such as problem lists but potentially far more
- Focus our attention on needs that might be overlooked
- As narrative text grows, so does the need for NLP



Summary

- Much of the clinical note content clinicians create is in narrative.
- That content can help us make better decisions, esp if aided by NLP.
- NLP today fits into the workflow of EMRs to capture important content from narrative.
- We need to better match EMRs with human strengths and workflow.



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