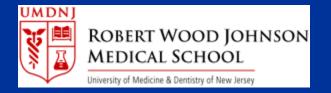
#### Natural Language Processing: Applications for Enhancing Clinical Decision-Making April 24, 2012

#### Frank A Sonnenberg, MD, FACP, FACMI Professor of Medicine Medical Director of Clinical Information Systems UMDNJ Robert Wood Johnson Medical School





#### My Perspective

- General internist for 30 years
- Medical Director of Clinical Information Systems
   Co-investigator, Clinical Decision Support Consortium (CDSC)

Clinical decision support is not readily available and not easy to implement with currently available tools.

## **Typical Decision Support**

| Ass | sessment/Plan: Walter S. Caldwell                |   |   |     |
|-----|--|---|---|-----|
|     | Assessment<br>Status of Existing Problems        | New Problems                              | Assess or Update Problems additional workup planned                                       |     |
|     |  |   | 4   |     |
|     | Preventive Care Reminders                        | include                                   | preventive care reminders in note   |     |
|     | HEMOCCULT or SIGMOID, FLU VAX, PNEUMOVAX, TD BOC | · ·                                       |   |     |
|     | Plan   | Enter Medications                         | Enter Orders  |     |
|     | New Prescriptions/Refills                        | ATENOLOL TABS 25 M<br>PLAVIX 75 MG TABS ( | A List<br>G (LOVASTATIN) 1 po qd<br>MG (ATENOLOL) 1 po qd<br>(CLOPIDOGREL BISULFATE) 1 po |     |
|     | New Orders                                       |   | <br>▼   |     |
| P   | Prev Form (Ctri) Preventive Care Rem             | ninders                                   | include preventive care reminders in note   |     |
|     | HEMOCCULT or SIGMO                               | ID, FLU VAX, PNE                          | EUMOVAX, TD BOOSTER   | ER. |

#### **Current Challenges**

Current generation EHR's are not designed to optimally organize patient information – still *heavily document and episode-based*.

Historical facts not readily accessible

- Certain kinds of data are not captured well as discrete data.
  - Symptoms
  - Physical findings
  - Previous procedures

Measurements supporting diagnoses

#### Instantiating variables to support decision rules

- Supplying variables automatically from the EMR requires capturing them during routine clinical care.
- Pertinent variables must be identified.
- EMRs, in general do not currently employ standardized terminology.

 Much critical information is not captured in EMR variables.

#### Two example guidelines

The Seventh Report of the Joint National Committee on Prevention, Detection, Evaluation, and Treatment of High Blood Pressure (JNC 7) JAMA. 2003;289:2560-2571

 Third Report of the National Cholesterol Education Program (NCEP) Expert Panel on Detection, Evaluation, and Treatment of High Blood Cholesterol in Adults (Adult Treatment Panel III) JAMA. 2001;285:2486-2497.

## Variable types

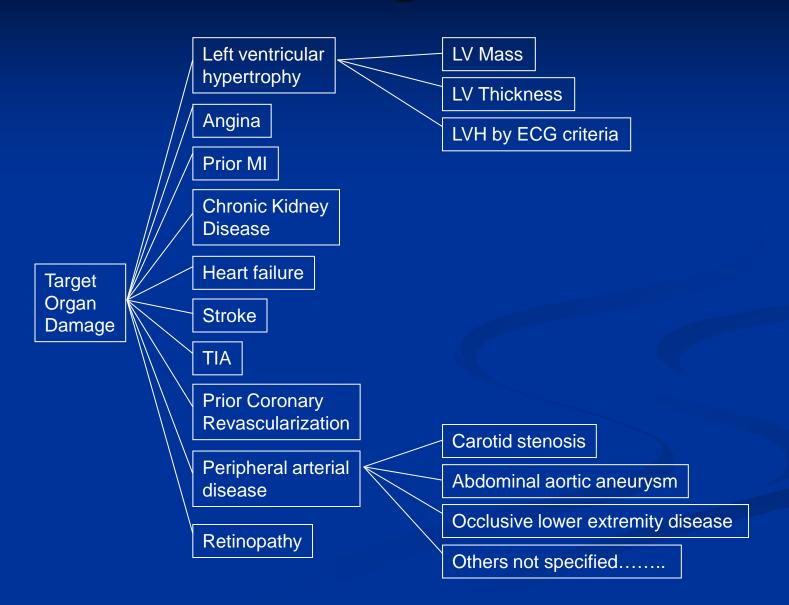
- Simple single observations
  - e.g. "systolic blood pressure"
- Calculated –not observed directly, but calculated from other observations
  - e.g. "Age" calculated from birth date
  - e.g. "Body mass index" calculated from height and weight.
- Complex variables defined in terms of other variables or observations
  - e.g. "elevated coronary risk"
  - e.g. "metabolic syndrome"
- Example: "metabolic syndrome" defined as any 3 of
  - Abdominal obesity in turn defined in terms of abdominal circumference
  - Elevated Serum triglycerides
  - Low HDL cholesterol
  - Elevated blood pressure
  - Elevated fasting blood glucose

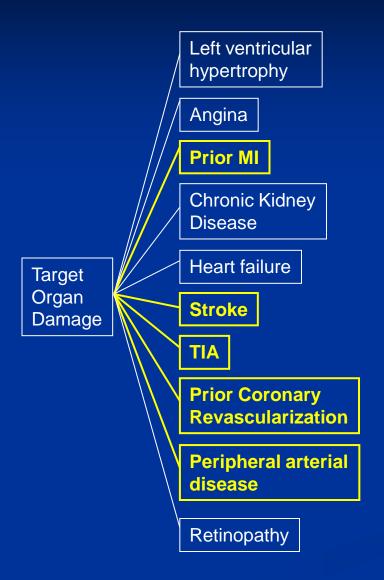
### Variable Types Observed

| Category             | Subcategory           | Number | Percent |
|----------------------|-----------------------|--------|---------|
| Simple variable      | Direct observation    | 42     | 25%     |
|                      | Health issue          | 40     | 24%     |
|                      | Medication            | 4      | 2%      |
|                      | Need to ask clinician | 15     | 9%      |
| Calculated           |                       | 32     | 19%     |
| Complex term         |                       | 36     | 21%     |
|                      |                       |        |         |
| Total distinct terms |                       | 169    | 100%    |

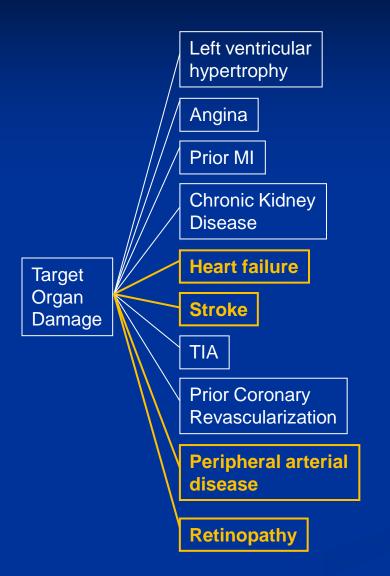
### Findings

- Only 51% of variables were simple terms collected in the form required for application of the guideline.
- 40% of variables depend on other simple terms or required calculations
- There were many undefined terms: e.g. "children", "adolescents", "elderly", "end-stage heart disease"
- Some terms were defined only outside the guideline e.g. "elevated coronary risk".

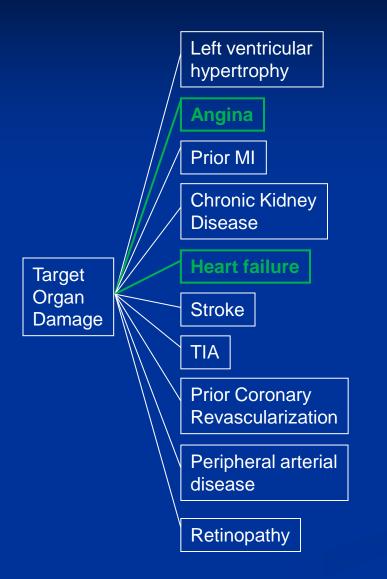




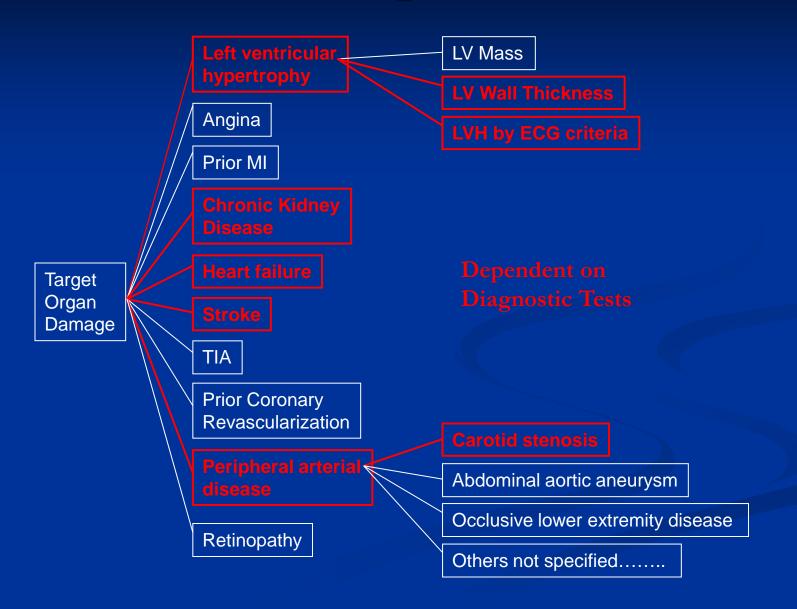
Dependent on comprehensive history



Dependent on Physical Exam



Dependent on Symptoms



#### LVH and CHF

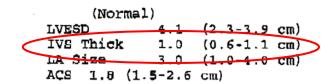
Tech: Test Indications: CHF Echo/Color Flow: X M-Mode Measurements Techn

Doppler: X Technically Adequate: X Yes

| LVEDD      | 5.2 |
|------------|-----|
| LVPW Thick | 1.0 |
| RVEDD      | 1.2 |
| AO Root    | 2.8 |

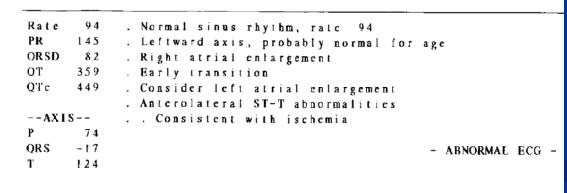
(3.5-5.7 cm) (0.6-1.1 cm) (0.7-2.6 cm)(2.0-3.7 cm)

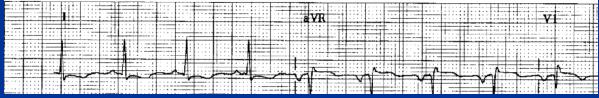
(Normal)



#### Two Dimensional Imaging:

2D echocardiographic views of the left ventricle show a normal size left ventricular cavity with segmental wall motion abnormalities. The basal septum and anterior wall are akinetic. The apex and inferior wall are hypokinetic. Overall ejection fraction is between 30-35%. There is normal biatrial size. The aortic root is normal.





From text-based Echocardiogram report

From EKG report

#### Evidence of coronary revascularization

In a letter from a consultant:

Dear Dr.

was seen in my office on April 6, 2005 for a follow up visit. She underwent CABGx4 and TABP on March 10, 2005.

# Evidence of peripheral vascular disease

#### From carotid duplex report

#### Impression:

80-95% stenosis of the left internal carotid artery.
60-79% stenosis of the right internal carotid artery.
Mild plaquing of the common carotid arteries bilaterally.
Severe plaquing of the left carotid bulb and internal carotid artery.
Moderate plaquing of the right carotid bulb and internal carotid artery.
Mild plaquing of the external carotid arteries bilaterally.
Normal bilateral vertebral flow.

# From JNC-VII Hypertension guideline

for HF.<sup>40</sup> In asymptomatic individuals with demonstrable ventricular dysfunction, ACE inhibitors and  $\beta$ -blockers are recommended.52,62 For those with symptomatic ventricular dysfunction or end-stage heart disease, ACE inhibitors, β-blockers, ARBs, and aldosterone blockers are recommended along with loop diuretics.<sup>40,41-48</sup>

#### **Documentation of symptoms**

In a letter from a consultant:

Dear Dr.

I had the pleasure of seeing Ms. \_\_\_\_\_ in the office today for continued cardiovascular care after her March 2005 four-vessel coronary artery bypass surgery.

Overall, she is doing well without any shortness of breath or edema. Her physical activity now includes normal activities of daily living as well as walking around department stores. She is tolerating her medications and remains nonischemic.

#### Conclusions

- Application of decision rules requires instantiation of a large number of clinical variables.
- Many of these variables are not captured as discrete data, even in EHR's.
- Many important data items are available only as textual entries in narrative reports.
- Current decision support systems cannot make use of all the information that is present in EHR's.
- For the foreseeable future, natural language processing will be the only way of capturing these data from the EHR.